



DEC 07 2001

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,846	06/27/2001	Donald J. Spencer	12868-002001

CONFIRMATION NO. 3704

## FORMALITIES LETTER



\*OC000000006440735\*

HANS R. TROESCH  
Fish & Richardson P.C.  
Suite 100  
2200 Sand Hill Road  
Menlo Park, CA 94025

Date Mailed: 08/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$436.
  - \$396 for 44 total claims over 20.
  - \$40 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 856.**

*A copy of this notice **MUST** be returned with the reply.*

SA

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/10/2001 WARRH71 00000061 09894845  
01 FC:201  
02 FC:203  
03 FC:202  
04 FC:205  
370.00 OP  
396.00 OP  
42.00 OP  
65.00 OP



Attorney's Docket No.: 12868-002001

SECTOR  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Donald J. Spencer, et al.  
Serial No. : 09/894,846  
Filed : June 27, 2001  
Title : CLOSED-LOOP DELIVERY SYSTEM

Art Unit : Unknown  
Examiner : Unknown

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed August 17, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$370;
- ☒ Payment of the additional/multiple dependent claims fees of \$438;
- ☒ Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

October 12, 2001

Date of Deposit

*Diana Bradley*  
Signature

Diana Bradley

Typed or Printed Name of Person Signing Certificate

Applicant : Donald J. Spencer, et al.  
Serial No. : 09/894,846  
Filed : June 27, 2001  
Page : 2

Attorney's Docket No.: 12868-002001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 12, 2001

Fredrik Mollborn

Fredrik Mollborn  
Reg. No. 48,587

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